



# Correlation effects on Z classification of non-Hermitian topology

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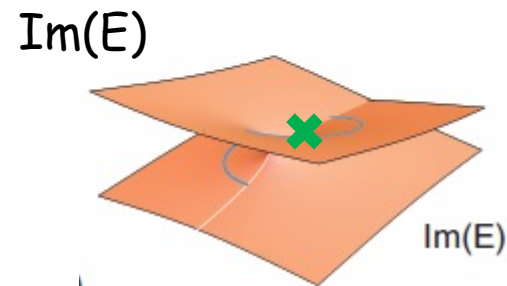
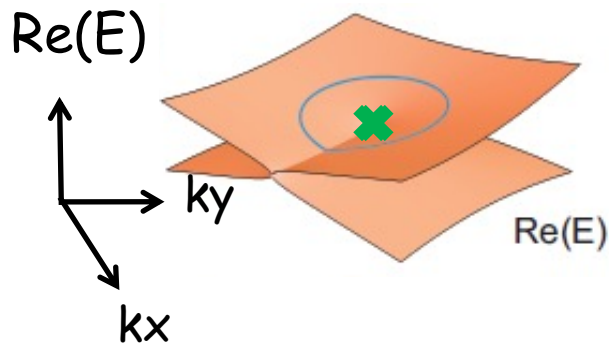
- TY-Hatsugai, PRB **104**, 075106 (2021)
- TY-Hatsugai, arXiv:2205.09333

# Introduction

Novel topological phenomena in  
non-Hermitian systems

Exceptional point

non-Hermitian topology:  
band touching for both  $\text{Re}(E)$  and  $\text{Im}(E)$

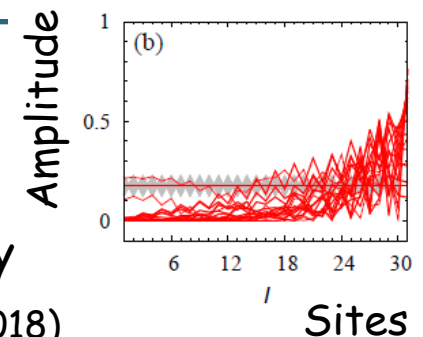


H. Shen, B. Zhen, and L. Fu PRL (2018)

Non-Hermitian skin effects

Non-Hermitian topology  
Most of eigenstates are localized at the boundary

S. Yao and Z. Wang PRL (2018)

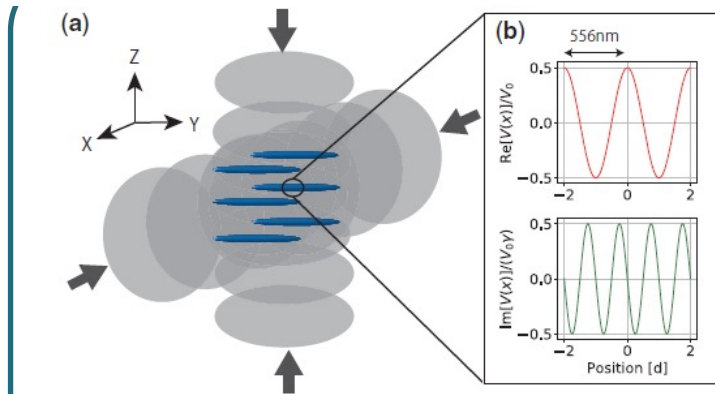


# Unique phenomena in non-Hermitian systems

Non-interacting case  
(band theory)

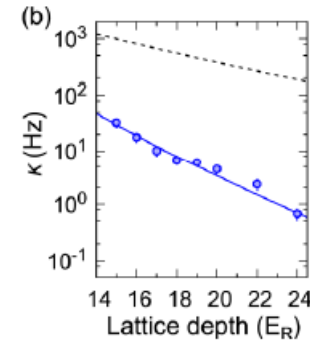
## Dissipation in cold atoms

### One-particle loss



Y. Takasu et al., PTEP(2020)

### Two-particle loss



T. Tomita et al, PRA(2019)

Interaction can be tuned in experiments

## Cold atoms:

platforms of **strong interactions vs. non-Hermitian topology**

# ~nH topology vs. strong correlations~

At the non-interacting level, classification of nH topology is done

AZ class	Gap	Classifying space	$d = 0$	$d = 1$	$d = 2$	$d = 3$
AI	P	$\mathcal{R}_1$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0
	$L_r$	$\mathcal{R}_0$	$\mathbb{Z}$	0	0	0
	$L_i$	$\mathcal{R}_2$	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0
BDI	P	$\mathcal{R}_2$	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0
	$L_r$	$\mathcal{R}_1$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0
	$L_i$	$\mathcal{R}_2 \times \mathcal{R}_2$	$\mathbb{Z}_2 \oplus \mathbb{Z}_2$	$\mathbb{Z}_2 \oplus \mathbb{Z}_2$	$\mathbb{Z} \oplus \mathbb{Z}$	0
D	P	$\mathcal{R}_3$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$
	L	$\mathcal{R}_2$	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0
DIII	P	$\mathcal{R}_4$	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$
	$L_r$	$\mathcal{R}_3$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$
	$L_i$	$\mathcal{C}_0$	$\mathbb{Z}$	0	$\mathbb{Z}$	0
AII	P	$\mathcal{R}_5$	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$
	$L_r$	$\mathcal{R}_4$	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$
	$L_i$	$\mathcal{R}_6$	0	0	$2\mathbb{Z}$	0

Z. Gong, et al., PRX (2018)

K. Kawabata et al., PRX (2019)

H. Zhou and J.Y Lee PRB (2019)

Fate of point-gap topology under correlations?

Reduction of  $\mathbb{Z}$  classification:

(1D, with chiral symm.)

Free fermions

$\mathbb{Z}$



Correlations

$\mathbb{Z}_4$

$$H = H^\dagger$$

Fidkowski-Kitaev  
PRB(2010)

# Contents

~Fate of point-gap topology under strong correlations~

Part1: 0D with chiral symmetry:  $Z \rightarrow Z_2$

TY-Hatsugai, PRB 104, 075106 (2021)

Part2: 1D with spin-parity symmetry:  $Z \times Z \rightarrow Z$   
(synthetic/spatial dim.)

TY-Hatsugai, arXiv:2205.09333

cf.) line-gap topo.: Xi et al, Sci. Bull. (2021)

Warm up (Hermitian):

$$H = H^\dagger$$

Reduction of  $\mathbb{Z}$  classification:

(1D, with chiral symm.)

Free fermions

$$\mathbb{Z}$$


Correlations

$$\mathbb{Z}_4$$

Fidkowski-Kitaev  
PRB(2010)

Non-interacting

$W=4$  (topological)

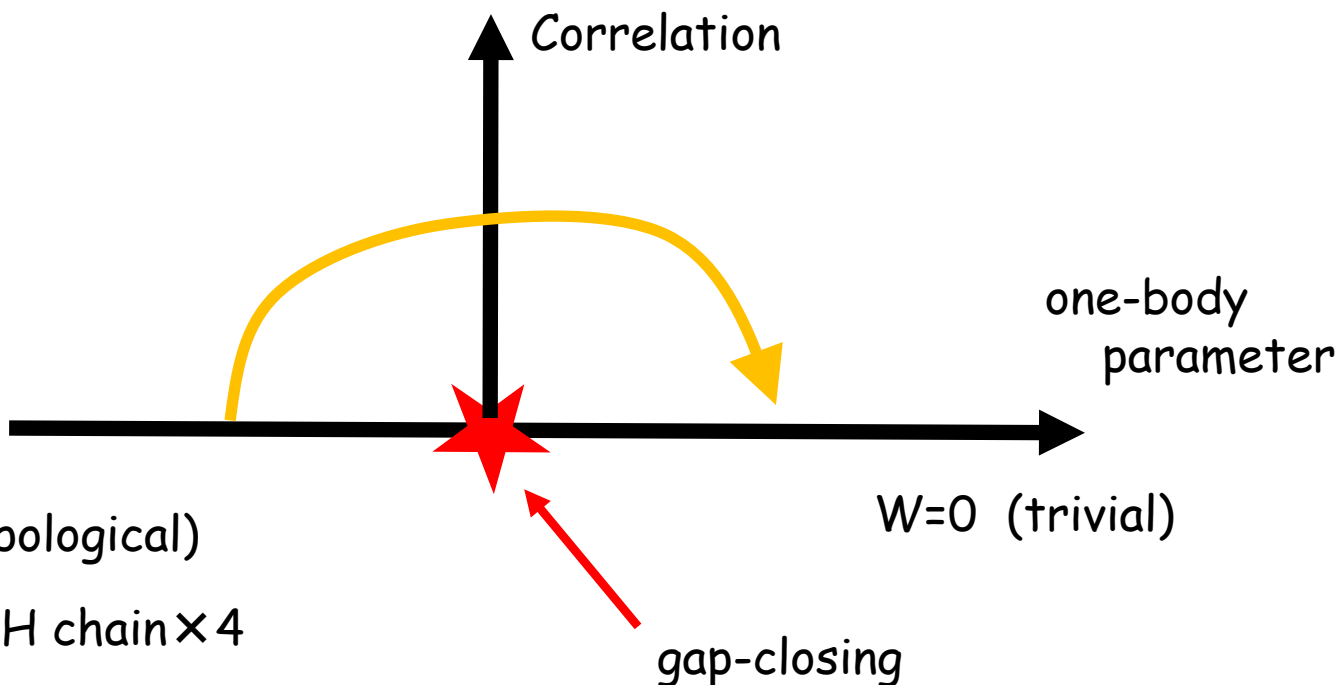
$\sim$ SSH chain  $\times 4$

Correlation

one-body  
parameter

$W=0$  (trivial)

gap-closing



# Part 1

~0-dimensional system with chiral symmetry~

TY-Hatsugai, PRB **104**, 075106 (2021)



# Overview

## OD, chiral symmetry

### Non-interacting case

nH point-gap topology is characterized by a  $\mathbb{Z}$ -invariant

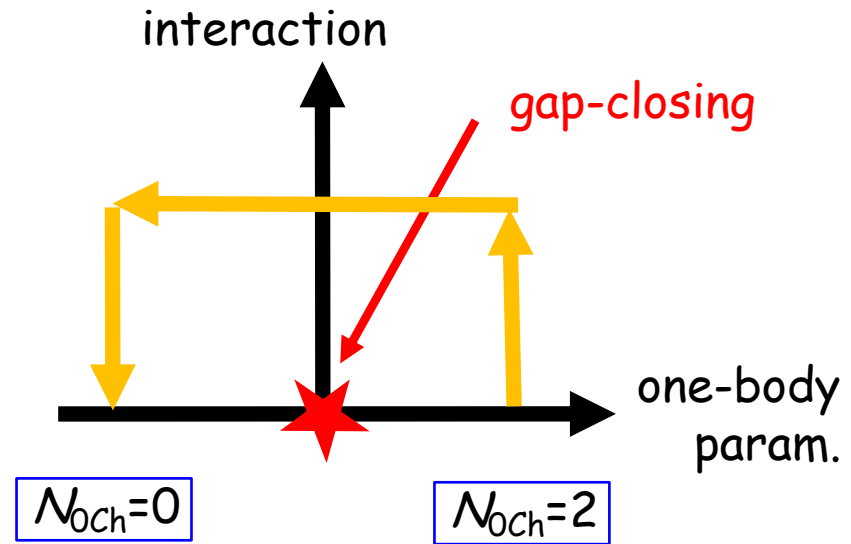
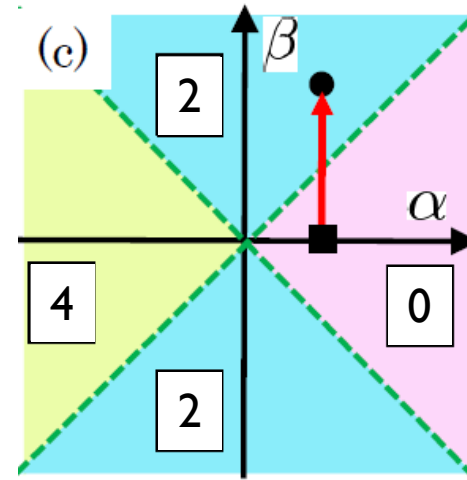
### Correlated case

Topological states with

$$N_{\text{och}}=0 \text{ and } N_{\text{och}}=2$$

are connected without gap closing

Free fermions  $\mathbb{Z}$   $\rightarrow$  Correlations  $\mathbb{Z}_2$





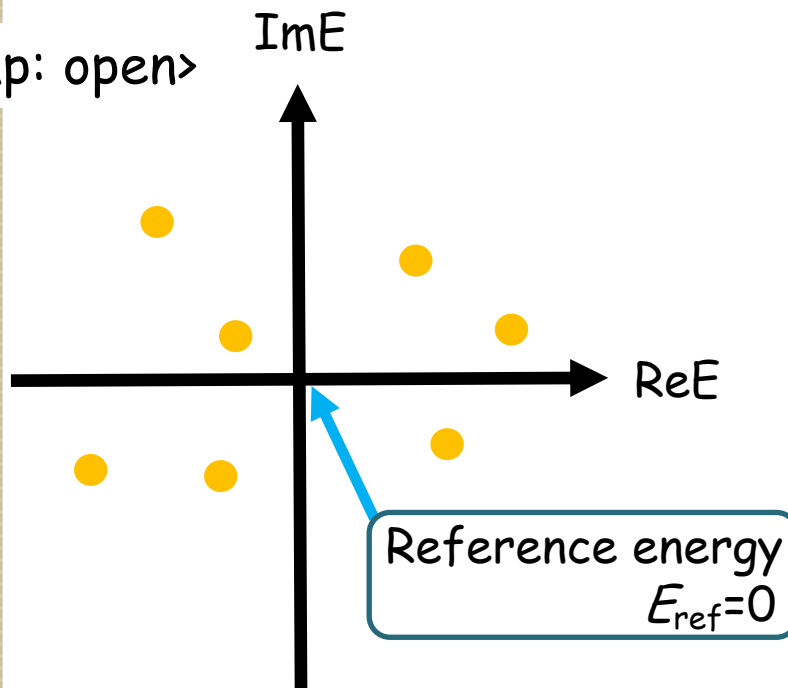
Gong et al., PRX (2018)

Kawabata et al., PRX (2019)

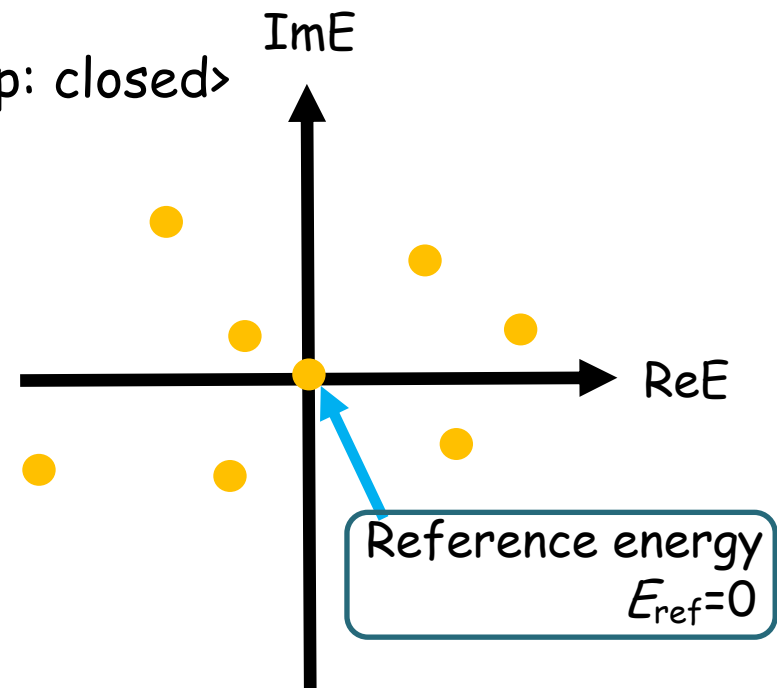
Definition of point-gap

$$\Delta_{\text{pt}} = \min |E_n - E_{\text{ref}}|$$

<gap: open>



<gap: closed>



# Hamiltonian (one-body)

$$h = \begin{pmatrix} i\alpha & \beta \\ \beta & -i\alpha \end{pmatrix} \begin{matrix} A \\ B \end{matrix}$$

A 

spinless fermion

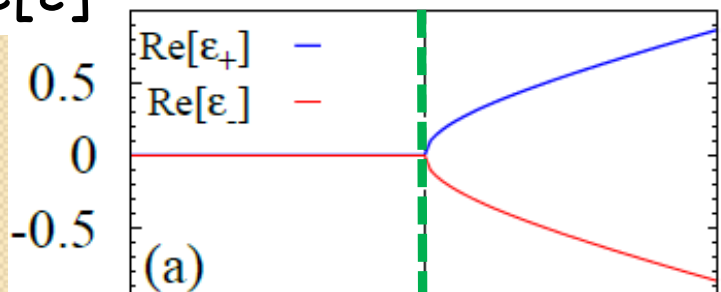
B 

$\alpha, \beta \in \mathbb{R}$

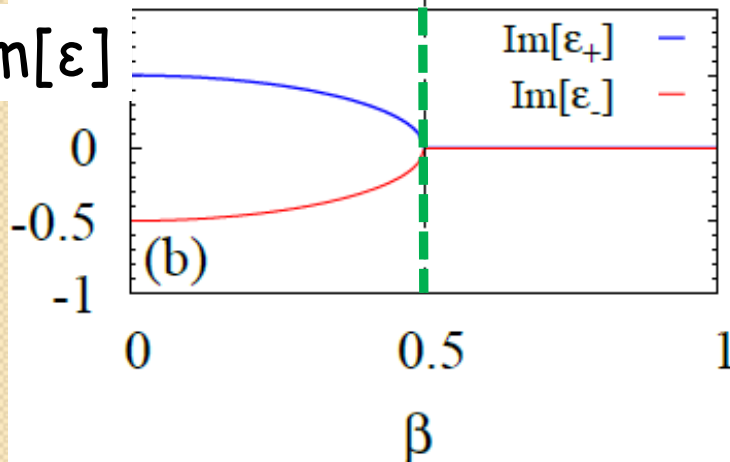
$$\tau_3 h^\dagger \tau_3 = -h$$

Re[ $\epsilon$ ]

$\alpha=0.5$

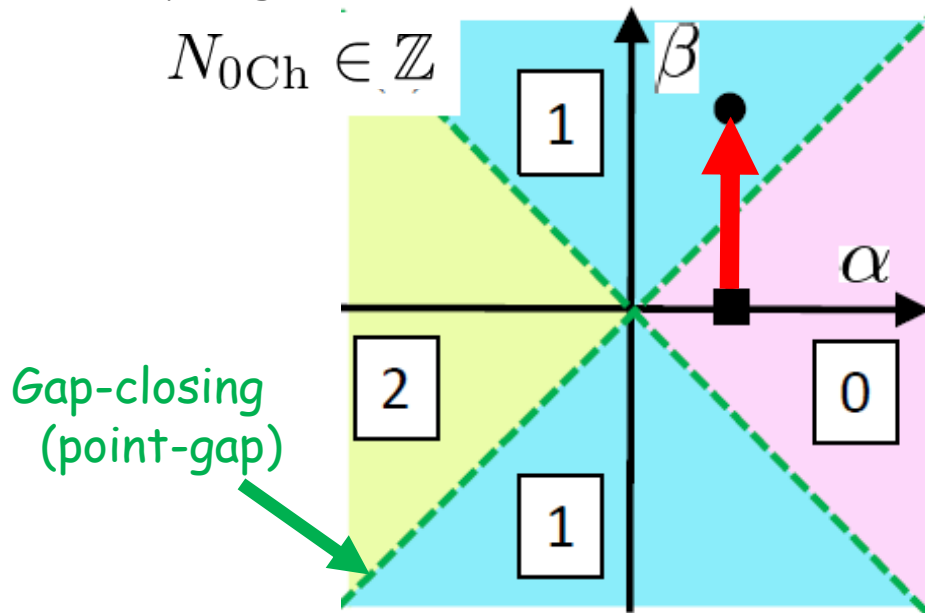


Im[ $\epsilon$ ]



<topological invariant>

$N_{0Ch} \in \mathbb{Z}$



# Many-body Hamiltonian

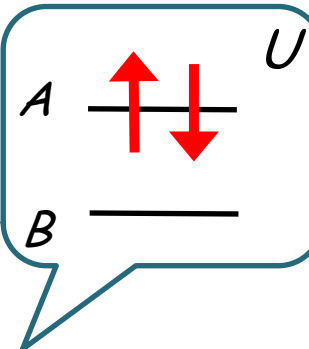
$$\hat{H} = \hat{H}_0 + \hat{H}_U$$

fermion with spin 1/2

$$\hat{H}_0 = \hat{\Psi}^\dagger \begin{pmatrix} h & 0 \\ 0 & rh \end{pmatrix} \hat{\Psi}$$

$r=1/2$

$$\hat{\Psi} = (\hat{c}_{A\uparrow}, \hat{c}_{B\uparrow}, \hat{c}_{A\downarrow}, \hat{c}_{B\downarrow})^T$$



$$\hat{H}_U = U \sum_{l=A,B} \left( \hat{n}_{l\uparrow} - \frac{1}{2} \right) \left( \hat{n}_{l\downarrow} - \frac{1}{2} \right)$$

$$U \geq 0$$

Chiral symmetry (many-body)

$$\hat{\mathcal{E}} \hat{H} \hat{\mathcal{E}}^{-1} = \hat{H},$$

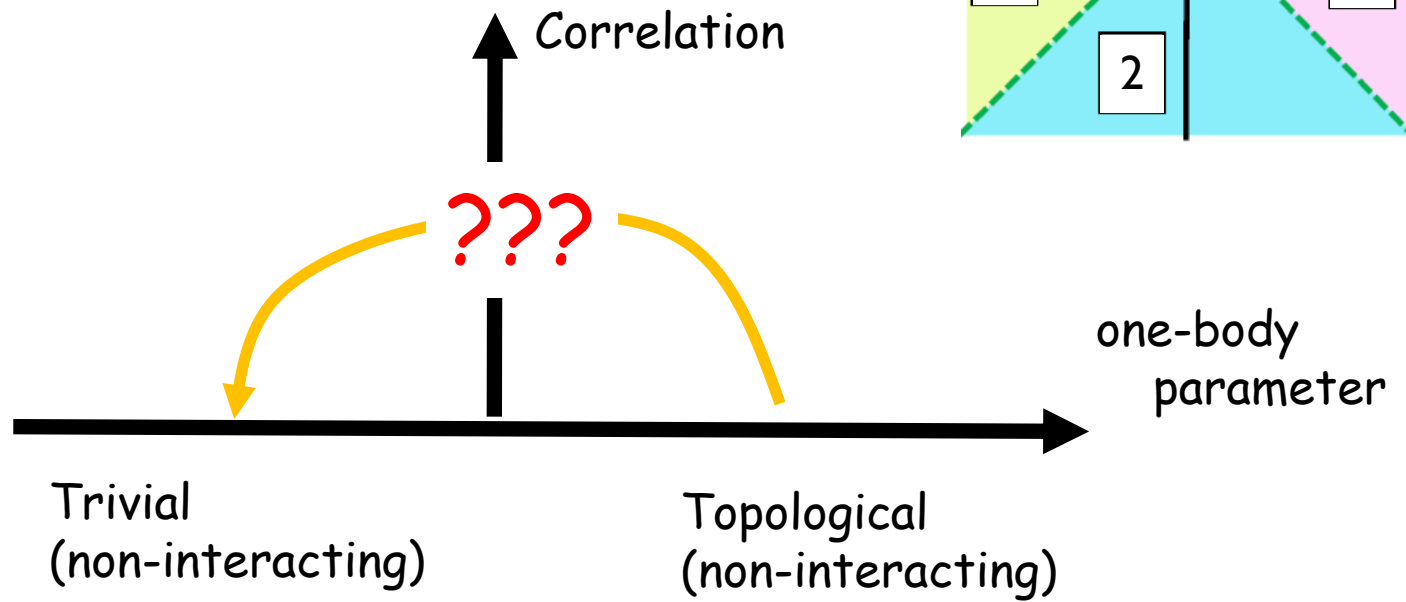
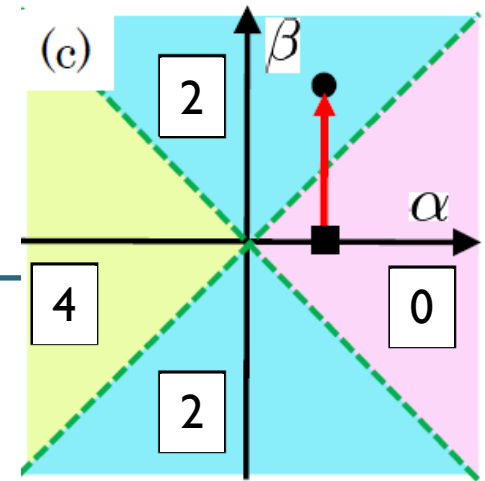
$$\hat{\mathcal{E}} = \prod_s (\hat{c}_{As}^\dagger + \hat{c}_{As}) (\hat{c}_{Bs}^\dagger - \hat{c}_{Bs}) \mathcal{K},$$

If  $U=0$ ,

$$\tau_3 h^\dagger \tau_3 = -h$$

Hatsugai, JPSJ (2006)  
Gurarie, PRB (2011)

Non-Hermitian case:

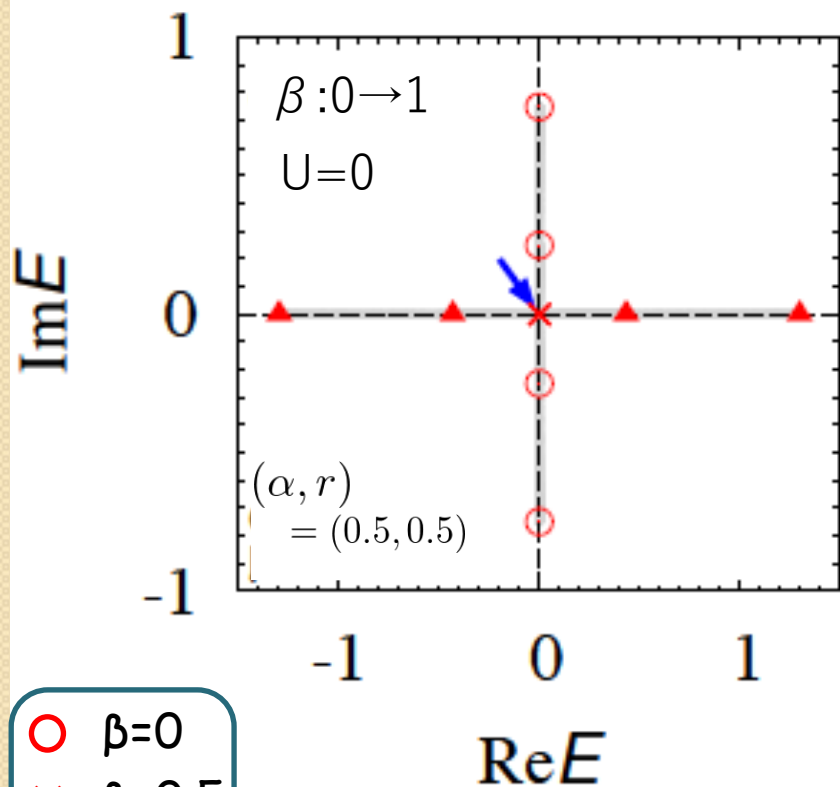


TY-Hatsugai, PRB 104, 075106 (2021)

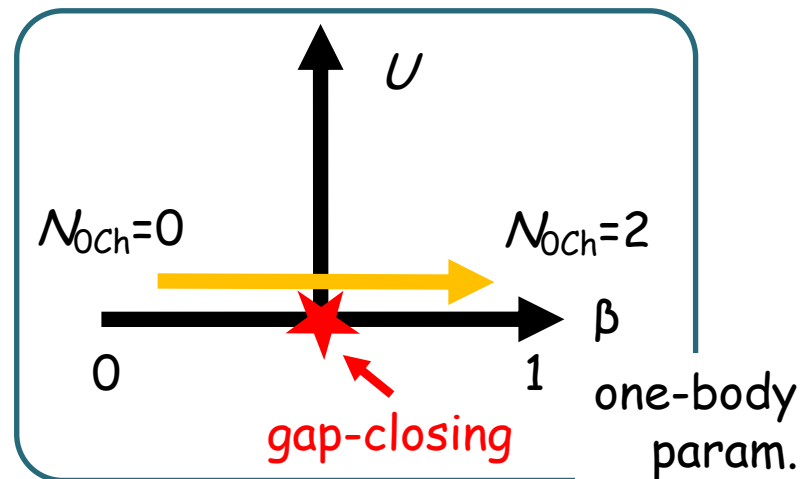
Spectral flow  $\beta : 0 \rightarrow 1$

@  $U=0$

$$(N_{\text{tot}}, 2S_{\text{tot}}^z) = (2, 0)$$



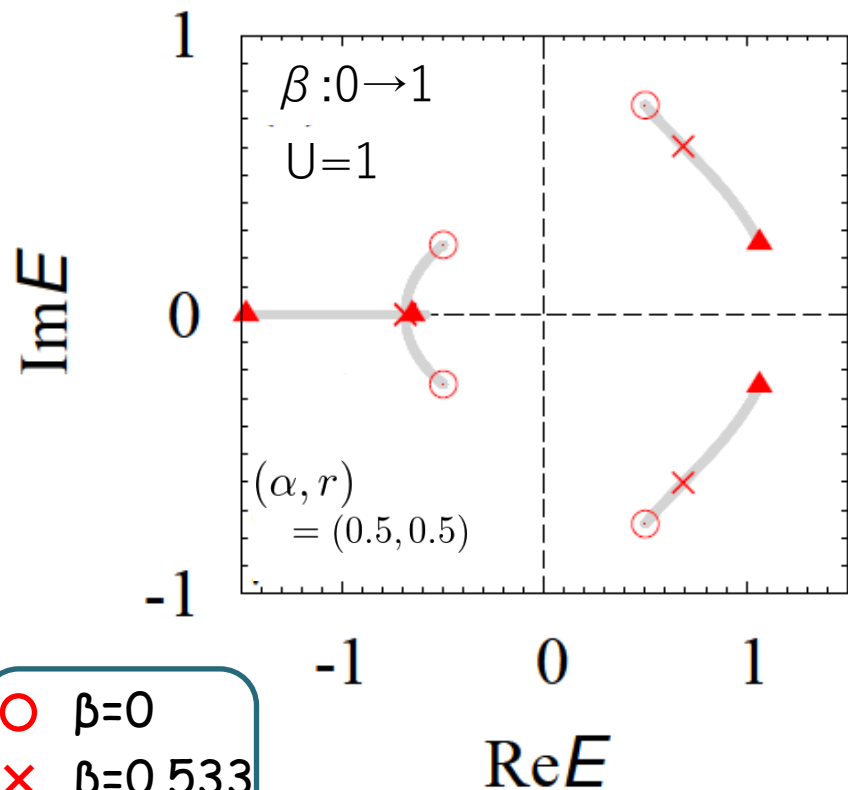
- $\beta=0$
- ×  $\beta=0.5$
- ▲  $\beta=1$



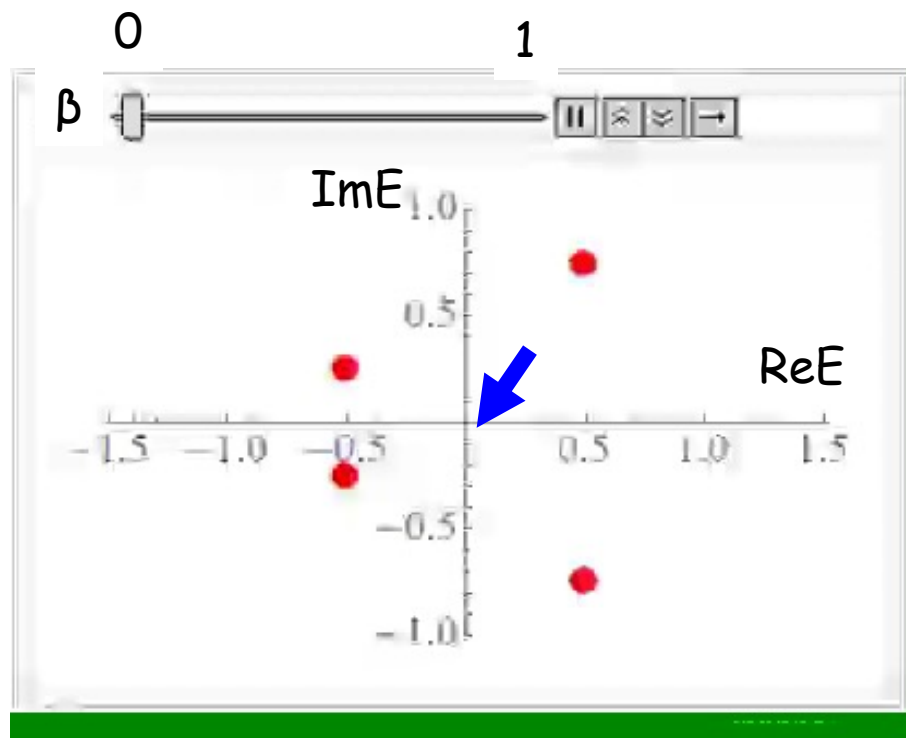
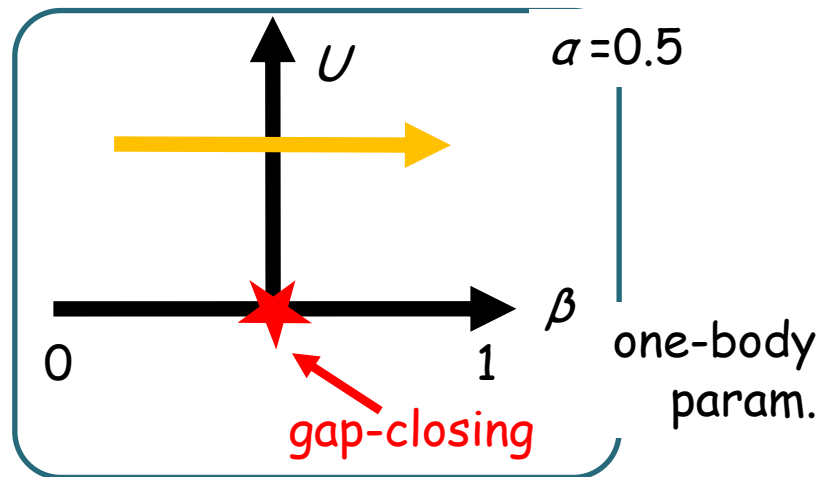
Spectral flow  $\beta : 0 \rightarrow 1$

@U=1

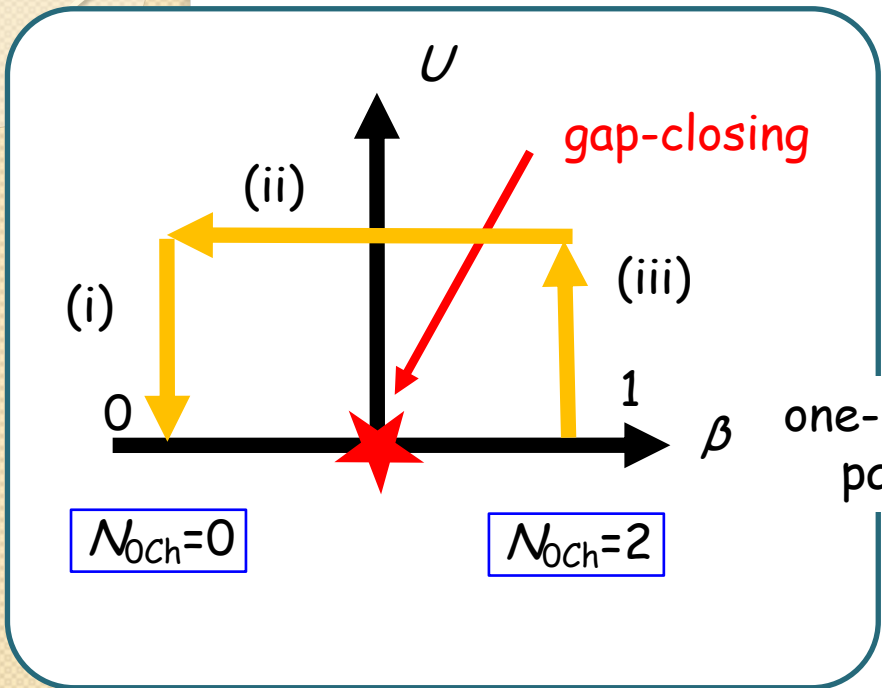
$$(N_{\text{tot}}, 2S_{\text{tot}}^z) = (2, 0)$$



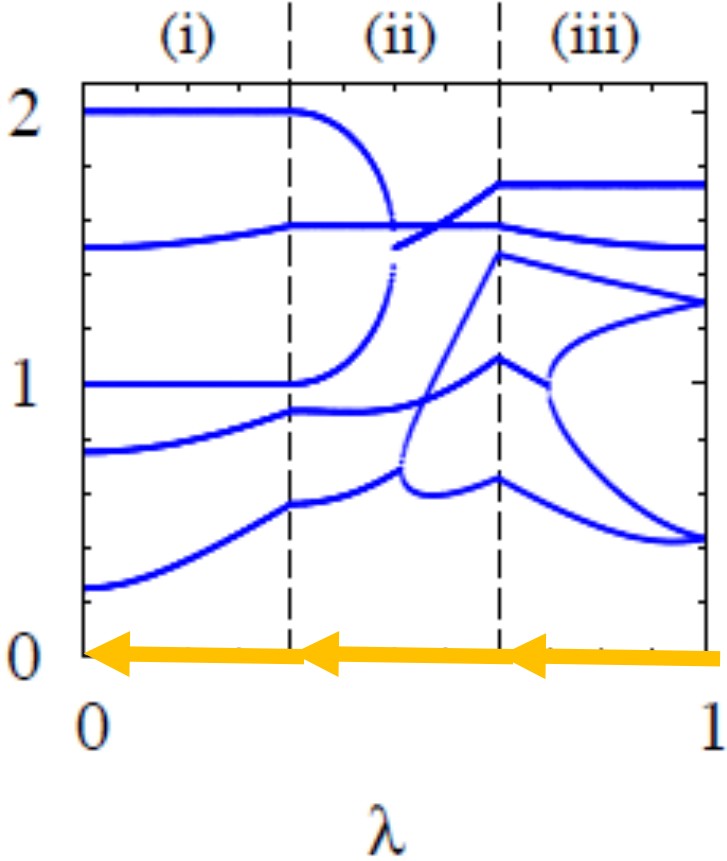
- $\beta=0$
- ×  $\beta=0.533$
- ▲  $\beta=1$



No gap-closing@U=1



cf.) gap-closing:  
 $|E| = 0$



In the presence of interactions,  
 $N_{\text{ch}}=0$  and  $N_{\text{ch}}=2$   
 can be smoothly connected  
 without gap-closing!

$\rightarrow$   $\mathbb{Z} \rightarrow \mathbb{Z}_2$



# Perspective from topo. invariants

free  $\mathbb{Z}$   $\rightarrow$  correlated  $\mathbb{Z}_2$

Non-interacting

- $H$  is quadratic Hamiltonian

$$\hat{H}_0 = \hat{\Psi}^\dagger \begin{pmatrix} h & 0 \\ 0 & rh \end{pmatrix} \hat{\Psi}$$

chiral symm. of  
one-body Hami.

$$\tau_3 h^\dagger \tau_3 = -h$$

$\mathbb{Z}$ -invariant

# of negative eigenvalues of  
 $-i\tau_3 h$

Correlated

Many-body chiral symm.

$$\begin{aligned} \hat{\Xi} \hat{H} \hat{\Xi}^{-1} &= \hat{H}, \\ \hat{\Xi} &= \hat{U} \mathcal{K} \end{aligned}$$

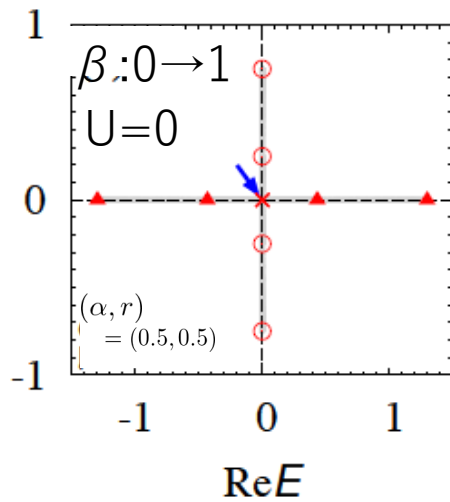
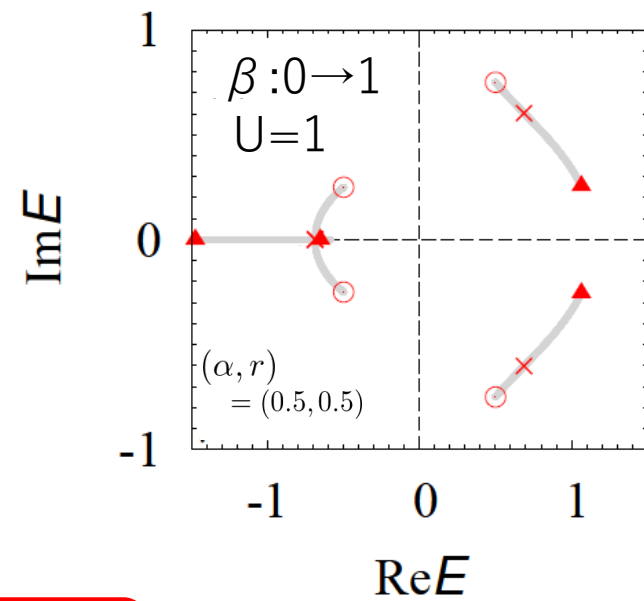
$\mathbb{Z}_2$ -invariant

$$\nu = \text{sgn}[\det \hat{H}]$$

# Summary of part 1

Point-gap topology for OD AIII

$$\mathbb{Z} \rightarrow \mathbb{Z}_2$$



TY-Hatsugai, PRB 104, 075106 (2021)

## Part 2

~1-dimensional system with spin-parity symmetry~

TY-Hatsugai, arXiv:2205.09333

# Overview

~ 1D topology with spin-parity and charge U(1) symmetry ~

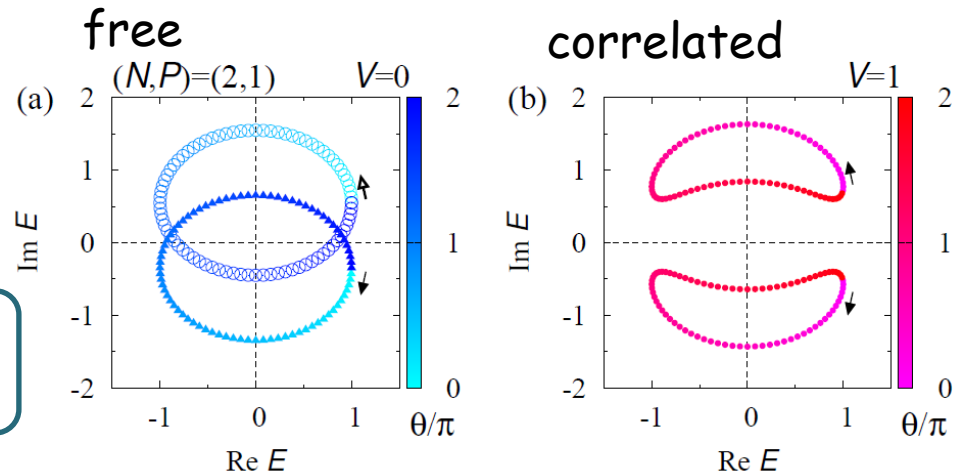
## Synthetic dimension

free  $\rightarrow$  correlated  
 $Z \times Z \rightarrow Z$

constraint on spin-flipping terms  
(spin-parity)

one-body: **forbidden**

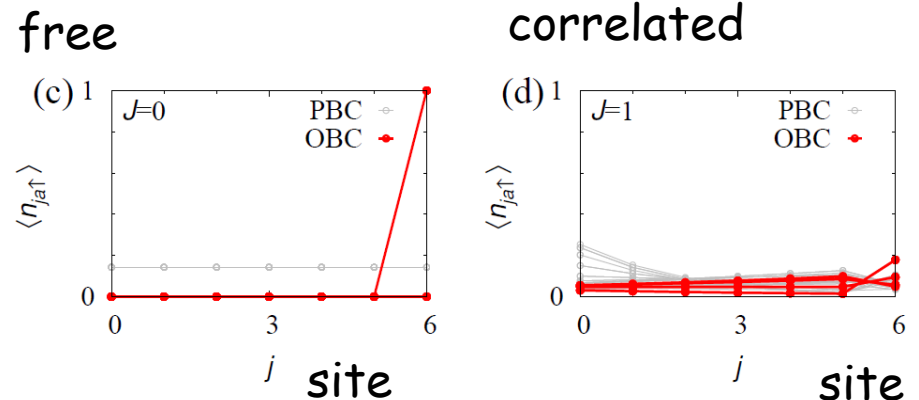
two-body: **allowed**



## Spatial dimension

Reduction:  $Z \times Z \rightarrow Z$

**Destruction of a skin effect by correlations**



Thank you!