

Causality constraints and their symmetry classification in passive devices

Henning Schomerus, Lancaster University & RIKEN
NH QM workshop, Tokyo University, 4 July 2022

NH effects

complex frequencies

exceptional points

nonorthogonal modes

NH skin effect

complications

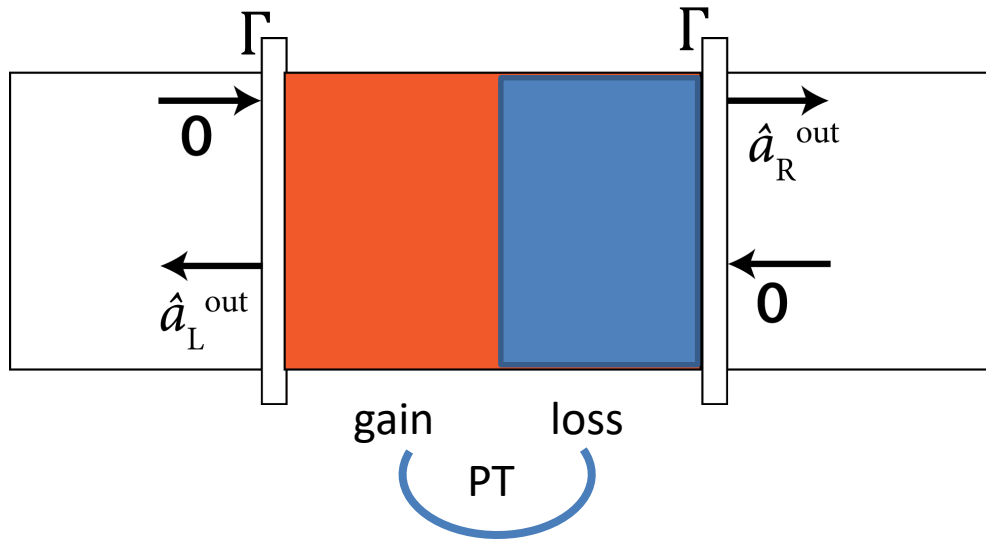
finite life times

enhanced sensitivity

instability

quantum noise

Example I: quantum noise



exact PT symmetric phase:

$$I(\omega) = \text{tr} (S^\dagger S - 1) / 2\pi$$

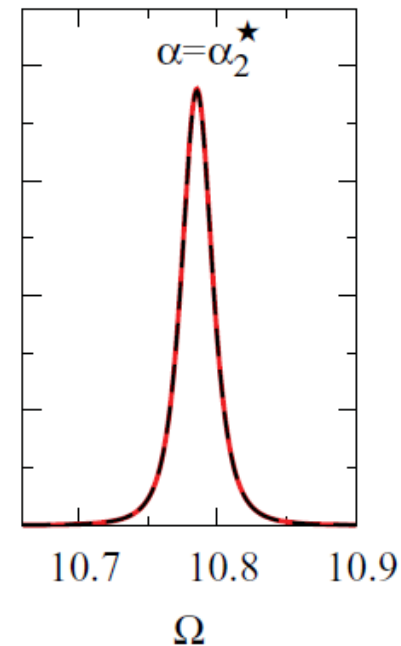
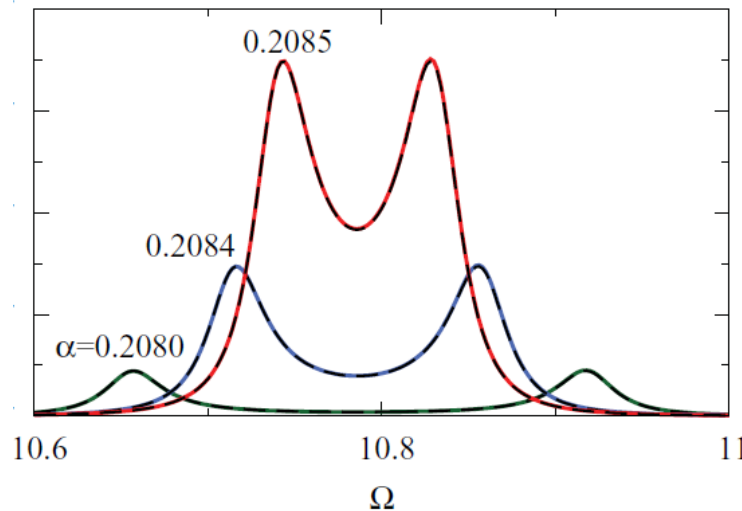
remains finite for $\Gamma \rightarrow 0$

HS, PRL 2010

EP to broken phase

$$I(\omega) \rightarrow (\text{Lorentzian})^2$$

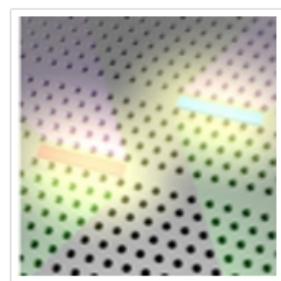
Yoo, Sim & HS, PRA 2011



Petermann factor $K_k = (U^\dagger U)_{kk} (U^{-1} U^{-\dagger})_{kk} \rightarrow \infty$

Example I: quantum noise

Optica Vol. 8, Issue 2, pp. 184-192 (2021) • <https://doi.org/10.1364/OPTICA.412596>



Observing exceptional point degeneracy of radiation with electrically pumped photonic crystal coupled-nanocavity lasers

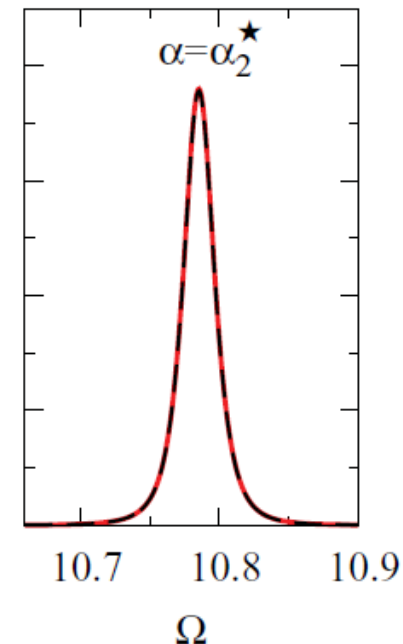
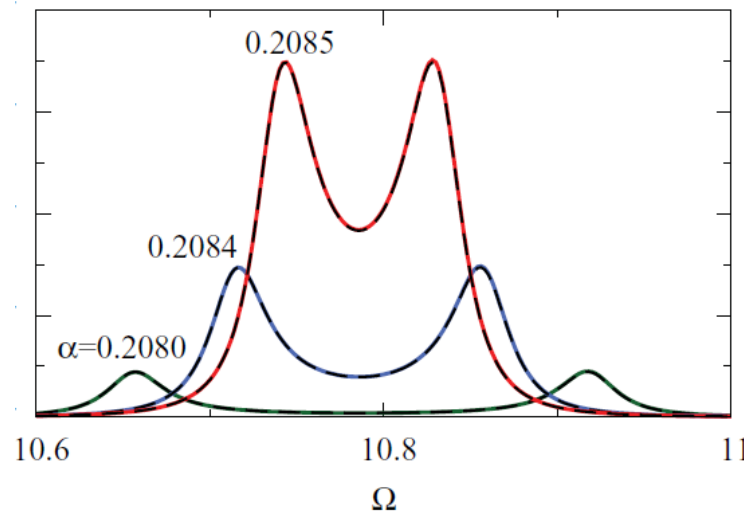
Kenta Takata, Kengo Nozaki, Eiichi Kuramochi, Shinji Matsuo, Koji Takeda, Takuro Fujii, Shota Kita, Akihiko Shinya, and Masaya Notomi

Furthermore, we find experimentally and confirm theoretically the peculiar squared Lorentzian emission spectrum very near the exact EP, which

EP to broken phase

$$I(\omega) \rightarrow (\text{Lorentzian})^2$$

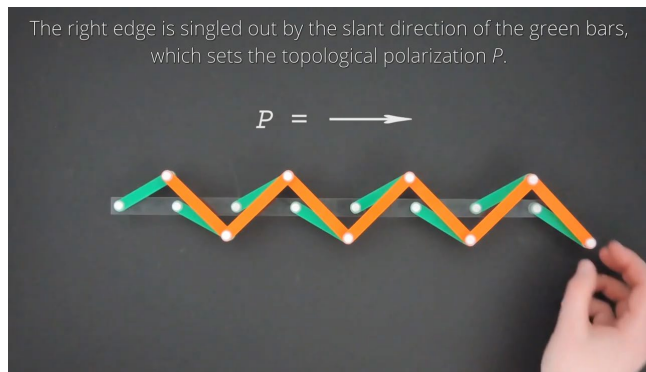
Yoo, Sim & HS, PRA 2011



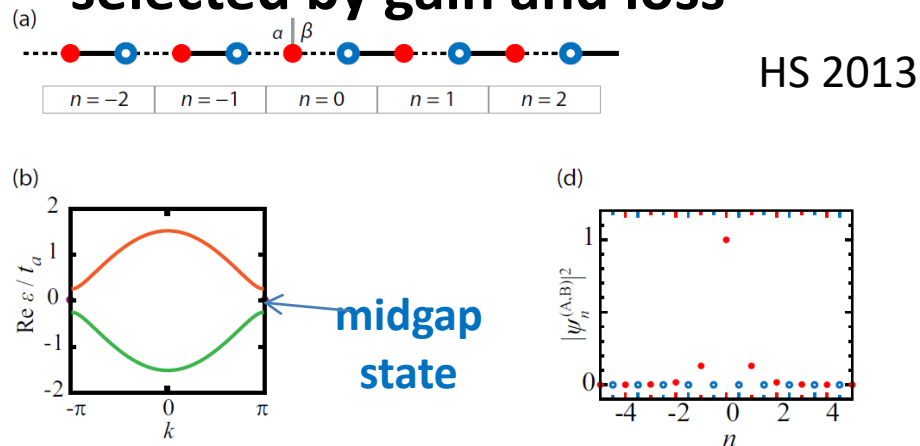
$$\text{Petermann factor } K_k = (U^\dagger U)_{kk} (U^{-1} U^{-\dagger})_{kk} \rightarrow \infty$$

Example II: mode selection

topological zero mode

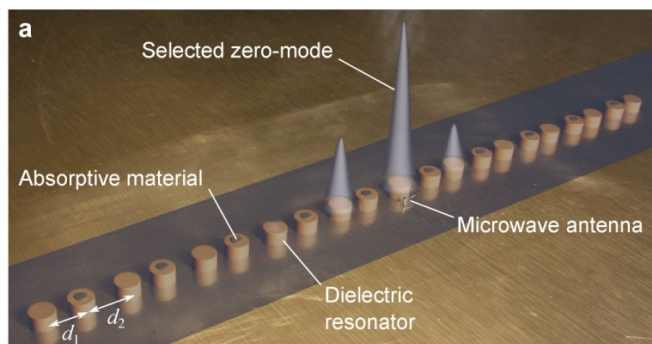


selected by gain and loss



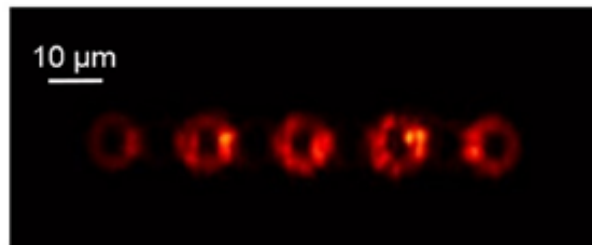
$$H = -ZH^*Z, \text{tr } Z = 1 \text{ (C symmetry)}$$

microwaves



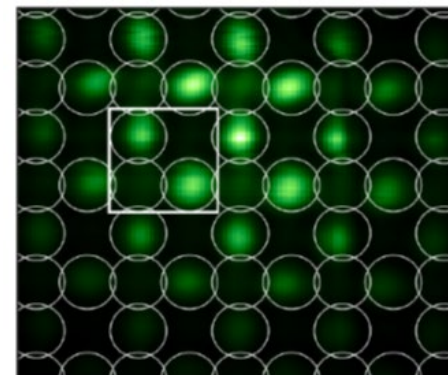
with Poli et al 2015

lasers



with Zhao et al 2018

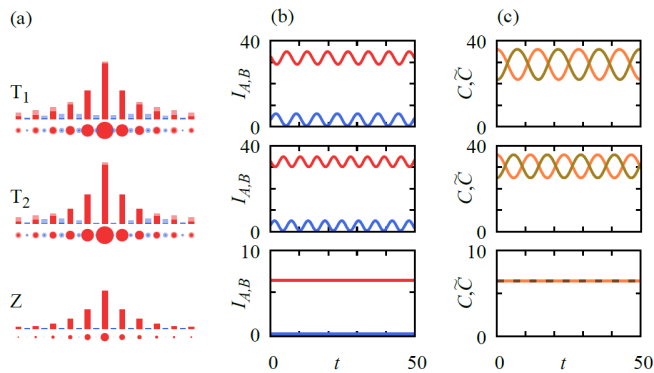
excitons



with Whittaker et al 2018

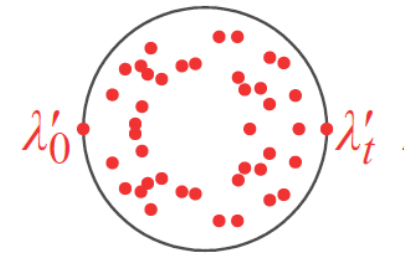
Example II: mode selection

Nonlinear symmetry



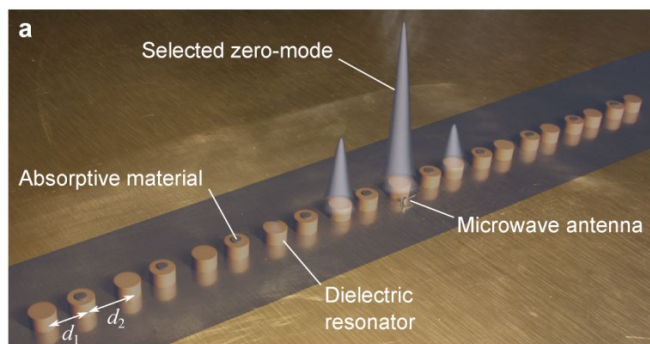
Malzard, Cancellieri & HS 2018

Symmetry-protected Topological excitations oscillations



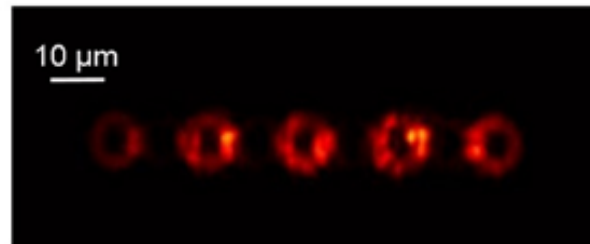
Goldstone modes:
 $U(1)$ and time translation

microwaves



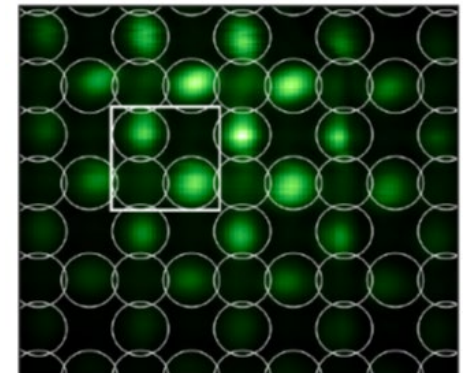
with Poli et al 2015

lasers



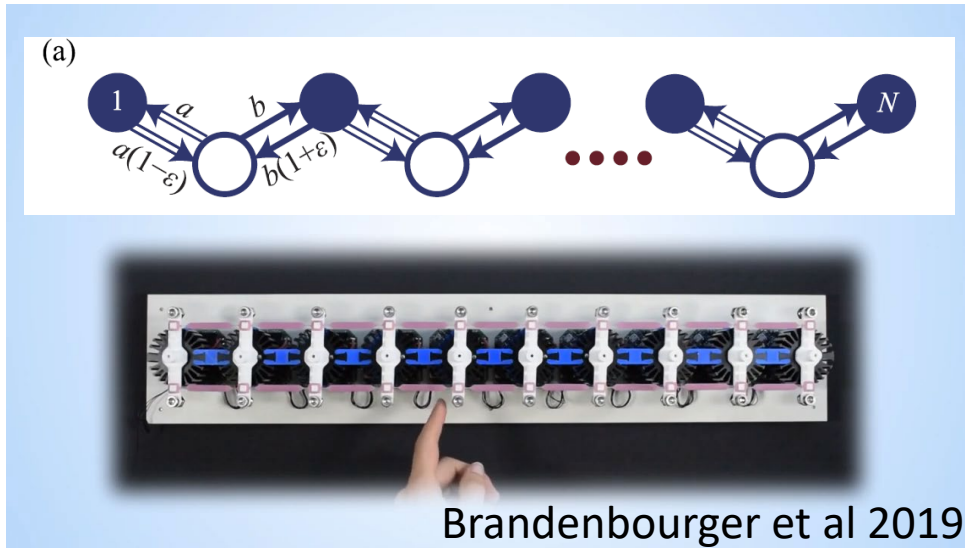
with Zhao et al 2018

excitons

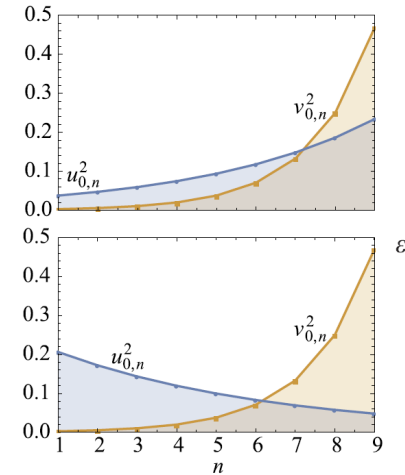


with Whittaker et al 2018

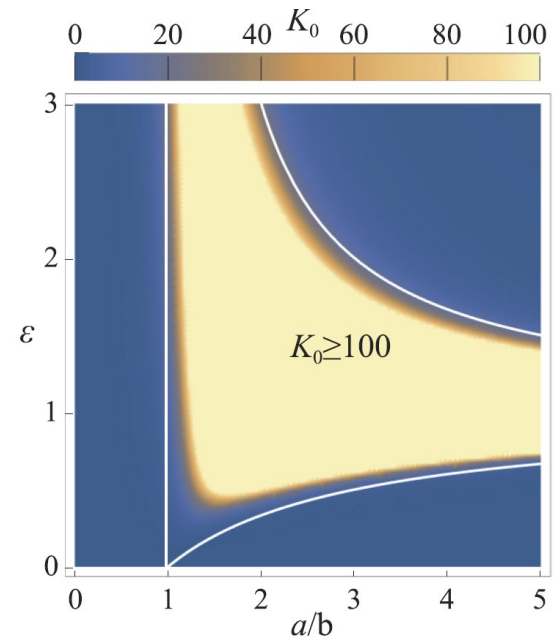
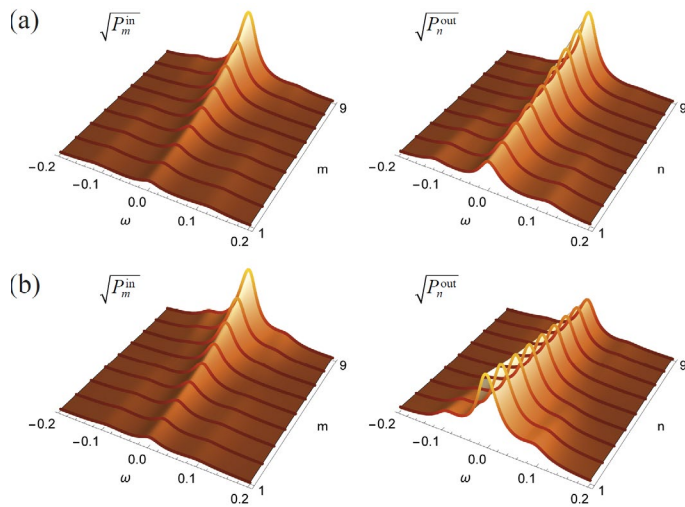
Example III: skin effect



zero mode relocates

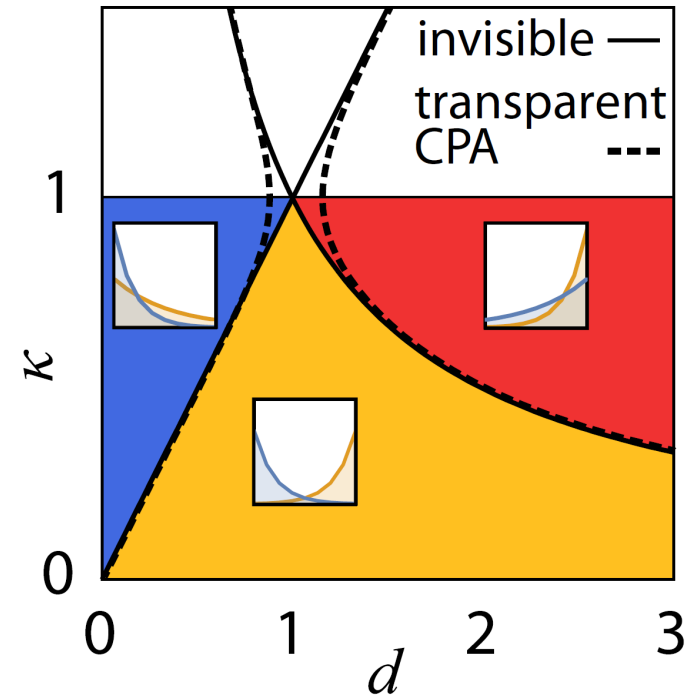


transition to directed amplification



also in transport effects

- *reflectionless transport depending on topological phase*
- *invisibility coinciding at skin-effect phase transition*
- *CPA and one-sided transparency*



Here: passive systems

- **physical limits from causality**
- **symmetry constraints**
- **visibility of effects:**
 - EPs, skin effect, edge states**

Causality

recall output intensity $I(\omega) = \text{tr} (S^\dagger S - 1)/2\pi$

passive system: $1 - S^\dagger S$ is positive definite

generic wide-band limit: $S(\omega) = \frac{1 - i\Gamma \mathcal{G}(\omega)}{1 + i\Gamma \mathcal{G}(\omega)}$ with $\mathcal{G}(\omega) = \frac{1}{\omega - H}$

$\Rightarrow 1 - S^\dagger S = 2 \Gamma Q$ with $Q = 2\mathcal{G}^\dagger(\omega + i\Gamma) (\gamma - F)\mathcal{G}(\omega + i\Gamma)$

- time-delay op., gives density of states $\rho(\omega) = \text{tr} Q/2\pi$
- $H = H_0 + iF - i\gamma$: nontrivial NH in F , background losses γ
- Q positive definite: causality threshold γ

causality > Lee-Wolfenstein (Wiersig 2019) > positive lifetimes

Symmetry classification

$$H = H^* \quad (\text{TRS}) \quad \Rightarrow \quad F = -F^T \quad (\text{Majorana basis})$$

$$H = H^T \quad (\text{reciprocal}) \quad \Rightarrow \quad F = F^* \quad (\text{TRS})$$

$$H = PH^*P \quad (\text{PT}) \quad \Rightarrow \quad F = -PF^*P \quad (\text{charge conj, C})$$

$$H = PH^\dagger P \quad (\text{PTT}') \quad \Rightarrow \quad F = -PFP \quad (\text{chiral})$$

$$H = -PH^*P \quad (\text{C}) \quad \Rightarrow \quad F = PF^*P \quad (\text{gen TRS})$$

$$H = -PH^\dagger P \quad (\text{CT}') \quad \Rightarrow \quad F = PFP \quad (\text{parity})$$

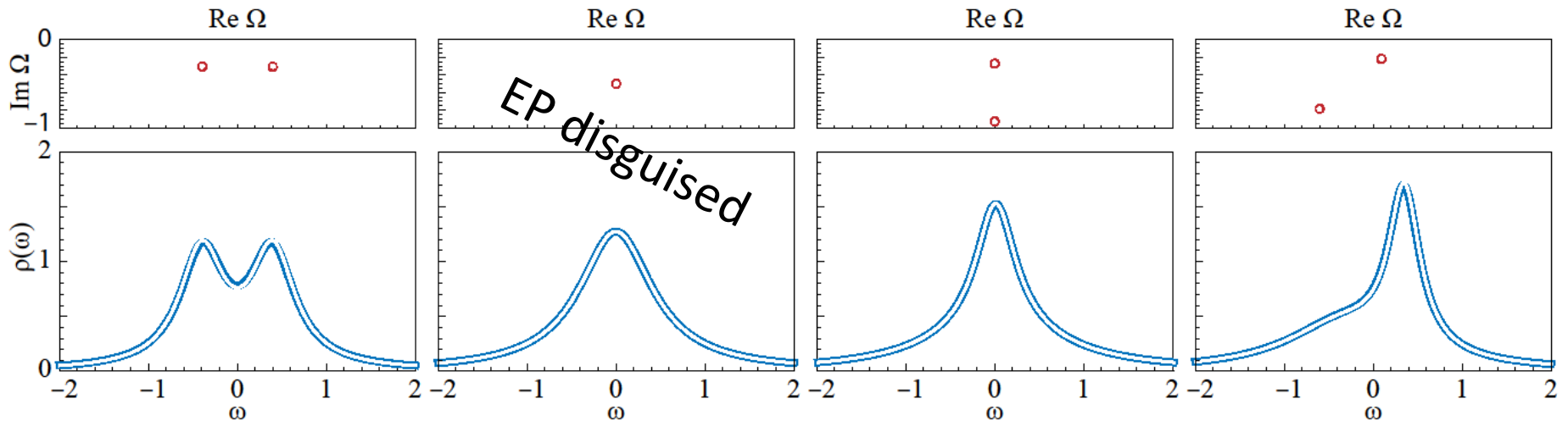
- systematic pairing of NH and H symmetry classes
- classes of H and iH differ

Visibility of EPs

EP normal form $H = \begin{pmatrix} a - i\gamma & b \\ c & -a - i\gamma \end{pmatrix} \Rightarrow F = \frac{1}{2i} \begin{pmatrix} a - a^* & b - c^* \\ c - b^* & a^* - a \end{pmatrix}$

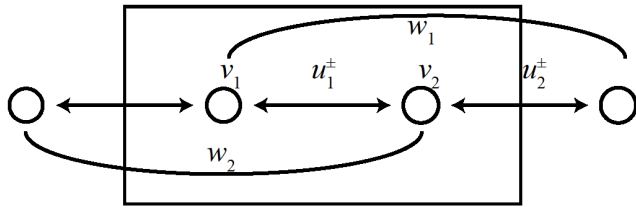
causality threshold $\gamma_c = \sqrt{(\text{Im } a)^2 + |b - c^*|^2/4}$

EP $a^2 + bc = 0$: $\text{dos } \rho^{EP}(\omega) = \frac{1}{\pi} \frac{|b|+|c|}{\omega^2 + (|b|+|c|)^2/4}$ is a *simple* Lorentzian!



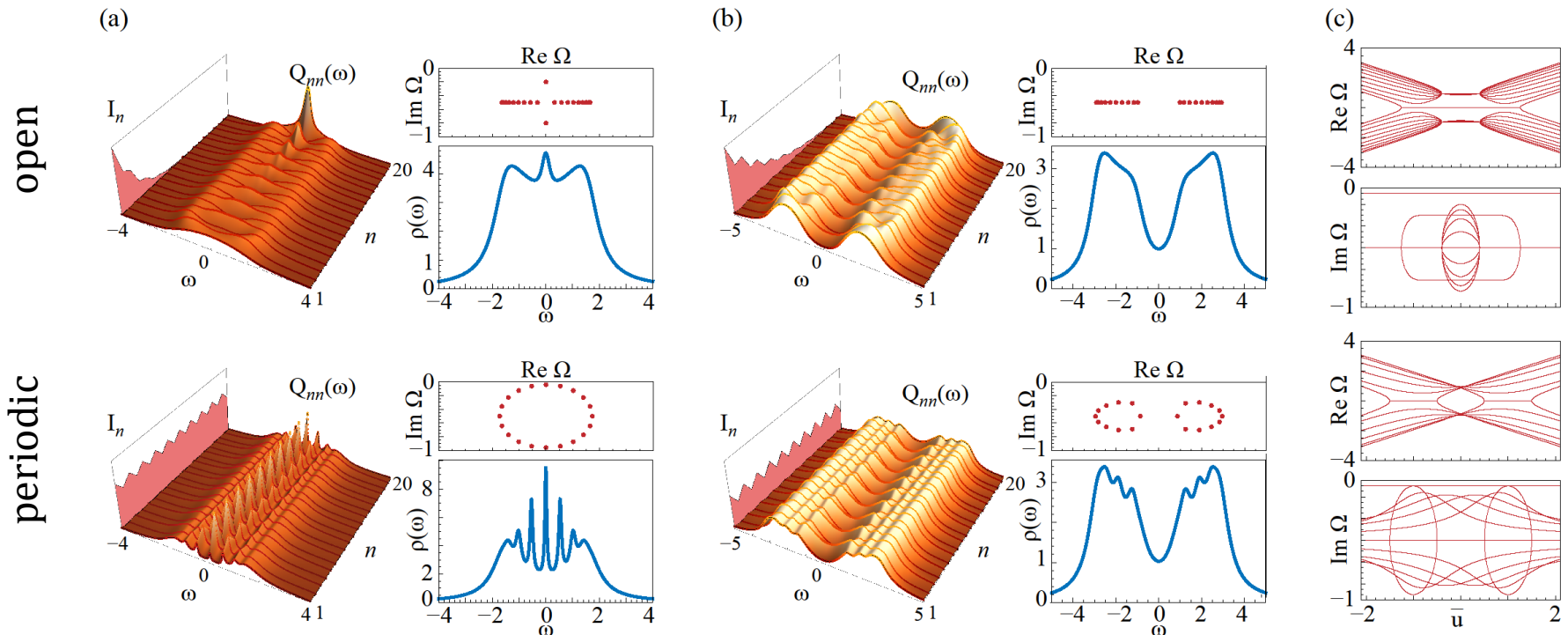
Visibility of skin effect and edge states

nonreciprocal dimer chain



$$H(k) = \begin{pmatrix} v_1 + 2w_1 \cos k & u_1^- + u_2^+ e^{-ik} \\ u_1^+ + u_2^- e^{ik} & v_2 + 2w_2 \cos k \end{pmatrix}$$

$$\Rightarrow F(k) \Rightarrow \gamma_c = \sqrt{\Delta v^2 + (|\Delta u_1| + |\Delta u_2|)^2}$$



\Rightarrow skin effect disguised, edge states visible

acknowledgements/references

Intro:

Quantum noise in PT exact phase:

$I(\omega) \rightarrow (\text{Lorentzian})^2$ at EP:

Topological mode selection theory:

Microwave demonstration:

Topological laser:

Exciton condensate:

Nonlinear extensions:

Directed amplification & sensing:

Nonreciprocal transport signatures:

Causality constraints:

HS, PRL **104**, 233601 (2010)

G Yoo, H-S Sim & HS, PRA **84**, 063833 (2011)

HS, Opt Lett **38**, 1912-1914 (2013)

C Poli et al, Nat Commun **6**, 6710 (2015)

H Zhao et al, Nat Commun **9**, 981 (2018)

C Whittaker et al, PRL **120**, 097401 (2018)

S Malzard et al, Opt Exp **26**, 22506 (2018)

S Malzard & HS, New J Phys **20**, 063044 (2018)

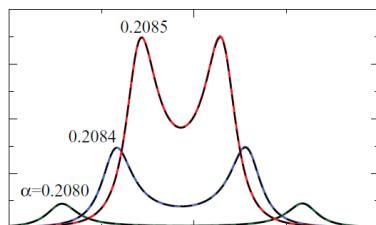
HS, Phys Rev Research **2**, 013058 (2020)

H Ghaemi-Dizicheh & HS, PRA **104**, 023515 (2021)

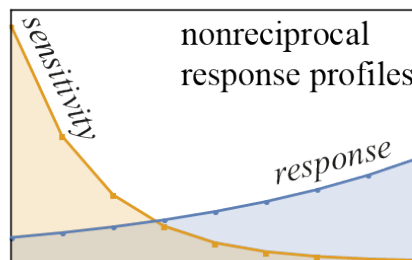
HS, in preparation

Summary: NH topology from gain & loss

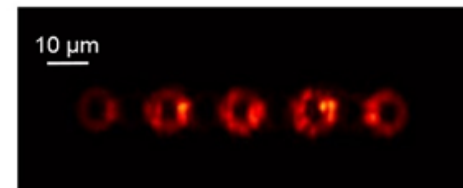
quantum noise



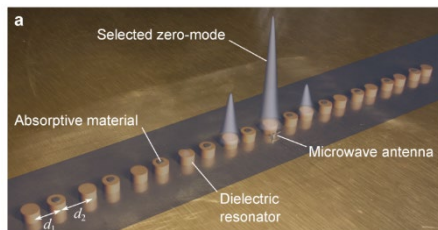
directed ampl.



⇒ lasers,
sensors ...



mode selection



passive devices:

causality constraints ⇒
threshold losses
scattering theory ⇒
EP's & NHSE disguised,
edge states visible

